

3B5-3 Genium[®] X3

Private Payer Reimbursement Guide

January 2023



Genium® X3 Reimbursement Guide

Product Information

The 3B5-3 Genium X3 utilizes a complex sensory system including inertial motion unit (IMU) control with gyroscope and accelerometer, paired with optimized physiologic gait technology. The appropriate resistances are calculated using multi-modal proprioceptive inputs (including knee angle, knee angular velocity, ankle angular velocity, and ground reaction force components). As a result, the X3 is able to monitor the user's motion possibilities at any given time. Additionally, the X3 is rated for both IP68 (waterproof and completely submersible) and IP66 (protected from powerful water jets), is corrosion resistant and has running functionality.

FDA Status

Under FDA's regulations, the Genium X3 Microprocessor-Controlled Prosthetic Knee is a Class I device, exempt from the premarket notification [510(k)] requirements. The Genium X3 prosthetic knee has met all applicable general control requirements which include Establishment Registration (21CFR 807), Medical Device Listing (21 CFR part 807), Quality System Regulation (21CFR part 820), Labeling (21CFR part 801), and Medical Device Reporting (21 CFR Part 803). The Genium X3 prosthetic knee is listed under JOINT, KNEE, EXTERNAL LIMB COMPONENT; Listing Number is E253231 and Manufacturer Registration Number is 3005190268.

Health Canada Compliance

This device meets the requirements of the Medical Device Regulations (SOR/98-282). It has been classified as a class I medical device according to the classification criteria outlined in schedule 1 of the Medical Device Regulations.

Warranty

Genium X3 comes with a three-year manufacturer warranty (extendable to six years) which includes:

- Repair costs*
- Service inspection in months 12 and 24
- Service unit during the repair and service inspections

* Superficial damage and damage resulting from improper use, intent, negligence or force majeure are not covered. See Genium X3 Warranty for details.

Who Can Provide a Genium X3?

The Genium X3 is prescribed by a physician and may only be provided by a qualified Prosthetist that has received specific product training. Ottobock employs a team of orthotists and prosthetists to educate practitioners on fabricating and fitting our products. This includes in-person and online training, webinars, and technical bulletins. We also provide Cooperative Care Services for the more challenging fittings, which includes on-site assistance with the fitting in conjunction with product qualification training for the practitioner.



3B5-3 Genium X3

Genium® X3 Reimbursement Guide

Product Information

Billing for the Genium X3 (U.S. only)

¹Coding

Currently, there is not an existing Healthcare Common Procedure Coding System (HCPCS) code to fully describe the Genium X3 and miscellaneous code L5999 is available to use. We do not recommend billing Genium X3 to Medicare until specific coding is secured.

- ¹L5999 Addition to lower extremity endoskeletal system, Ottobock 3B5-3 Genium X3 adaptive microprocessor-controlled swing and stance phase knee, with stance flexion; stance extension damping; simulated-physiologic rule sets, predicted by multi-modal proprioceptive input; loading flexed knee to traverse obstacles and stairs; dynamic stability control for all transitional gait (i.e. safe multidirectional movement in confined spaces, stance release on ramps, transition to running, weight compensation for stance release); inertial motion control unit feature for intuitive standing and backwards walking, IP 68 submersible, IP 66 waterjets, Running mode, plus 5 additional programmable modes, includes battery and charger.

¹Short narrative description of L5999 for Genium X3 for use on a claim:

- L5999 Addition to LL prosthesis Ottobock 3B5-3 Genium X3 prosthetic knee, MSRP \$_____

²Manufacturer Suggested Retail Price (MSRP)

\$134,000



¹The product/device “Supplier” (defined as an O&P practitioner, O&P patient care facility, or DME supplier) assumes full responsibility for accurate billing of Ottobock products. It is the Supplier’s responsibility to determine medical necessity; ensure coverage criteria is met; and submit appropriate HCPCS codes, modifiers, and charges for services/products delivered. It is also recommended that Supplier’s contact insurance payer(s) for coding and coverage guidance prior to submitting claims. Ottobock Coding Suggestions and Reimbursement Guides are based on reasonable judgment and are not recommended to replace the Supplier’s judgment. These recommendations may be subject to revision based on additional information or alphanumeric system changes.

²The manufacturer suggested retail pricing (MSRP) is a suggested retail price only. Ottobock has provided the suggested MSRP in the event that third party and/or federal healthcare payers request it for reimbursement purposes. The practitioner and/or patient care facility is neither obligated nor required to charge the MSRP when submitting billing claims for third party reimbursement for the product (s).

3B5-3 Genium X3 Features and Benefits

Hydraulic Swing and Stance Phase Knee

Hydraulic swing phase control allows patients to vary cadence. The hydraulic fluid flows through narrow channels, providing a frictional resistance, which increases with the speed of compression; a faster gait speed allows quicker knee extension. The hydraulic also provides swing extension dampening to prevent a hard impact at terminal swing that may cause vibrations in the prosthesis and, as a consequence, an unsafe feeling in the patient.

Hydraulic stance phase control allows for knee flexion during weightbearing. This is necessary for walking with physiologic stance flexion on level ground, and natural step-over-step slope and stair descent and negotiation of uneven terrain. The hydraulic also provides sufficient knee flexion resistance for full weightbearing for “stumble recovery” during tripping.

Optimized Prosthetic Gait (OPG) with Pre-Flex

Physiologic Rule Sets: The 3B5-3 Genium X3 uses simulated physiologic rule sets with multi-modal proprioceptive input (six separate sensors) run by a state-of-the-art microprocessor. It significantly improves overall prosthetic function, especially ambulation, utility, social burden and well-being as well as the perceived difficulty and safety of many activities of daily living .

Unlike all other microprocessor-controlled knees that have to be (unphysiologically) fully extended at heel strike, the 3B5-3 Genium X3’s simulated physiologic rule sets allow optimized prosthetic gait (OPG) with a nearly physiologic pre-flexion of the knee at heel strike.

Pre-flexion allows for easier “riding into the knee” with a reduction of braking forces during walking (reduction of the feeling to have to “climb over the prosthesis”) and easier use of physiologic knee stance flexion for shock absorption.

Foot-Flat: Pre-flexion facilitates earlier foot-flat and increased prosthetic weight bearing resulting in improved safety and more physiologic step-over-step gait pattern during slope descent.

Step-Over-Step: Pre-flexion supports easier and more physiologic step-over-step slope ascent by reducing the need to “climb up over the limb.”

Pre-flexion facilitates a consistent positioning of the foot for step-over-step stair descent, resulting in more confidence and prosthetic side weight bearing.

Incline to Decline: The improvements in safety and gait patterns in slope ambulation also facilitate the negotiation of uneven terrain that is basically a permanent switchover between inclines and declines.

Obstacles and Stairs Function

Obstacles: The 3B5-3 Genium X3 allows for nearly normal stepping over bigger obstacles with the prosthetic leg first – the knee can be normally flexed, and the prosthesis be moved over the obstacle like taking a long step. Genium X3 is safe while loaded bent past the obstacle. All other MPK’s require that the patient has to move the extended/stiff prosthetic leg around obstacle using circumduction, which is associated with a high risk of catching the toes, stumbling and falling.

The 3B5-3 Genium X3 also enables nearly normal stepping over bigger obstacles with the sound leg first. Using this function of Genium X3, the trailing prosthetic leg can be normally bent and moved over the obstacle. All other MPK’s require that the patient moves the trailing extended/stiff prosthetic leg around the obstacle using circumduction or to hop forward on the sound leg and drag the stiff prosthetic leg over the obstacle. Both ways are associated with a substantial risk of catching toes, stumbling, and falling.

3B5-3 Genium X3 Features and Benefits

Stair Ascension: The 3B5-3 Genium X3 allows for ascending stairs in the natural step-over-step manner with a prosthetic knee that bends to maximize clearance of the stair with each step. In the walk upstairs mode, the bent prosthetic knee produces enough flexion resistance that the patient can use the prosthesis as a counter bearing to lift his/her body up to the next step using his/her hip and residual limb muscles. The conventional method for ascending stairs with a prosthetic knee is to take two steps at a time with the sound-side limb and ascend stairs with a straight knee on the prosthetic side, which results in a significant strain to the sound limb joints and muscles.



Dynamic Stability Control

Multi-Directional Walking: The Genium X3 allows for safe multi-directional motion and transitional gait by controlling the switch from stance to swing. Thus, it significantly improves overall prosthetic function, especially ambulation and utility as well as the perceived difficulty and safety of many activities of daily living.

Crowds and Confined Areas: The Genium X3 also provides stability in crowds and confined areas, because of its ability to reliably transition from stance into swing phase while taking small and shuffling steps.

Walking Speed: The Genium X3 also offers an optimized swing phase control with a nearly physiologic swing knee flexion angle of 64° independent of walking speed. This provides improved toe clearance in slower walking speeds as well as timely shank swing in higher walking speeds – that patient doesn't have to wait for a lagging shank to swing forward.

Slopes: The optimized swing phase control also results in increased knee flexion and thus toe clearance and safety when ascending and descending slopes.

Walk2Run feature: The Genium X3's knee joint is able to detect transition from walking to running automatically while in basic mode and reacts accordingly, by switching into a larger swing phase angle suited for running (higher swing flexion angle, decreased swing extension resistance, with no Preflex behavior). This innovative Walk2Run mode is ideal for running short distances and start-and-stop running such as across a street, down the hall or to catch a bus.

Inertial Motion Unit

The Inertial Motion Unit (IMU) consists of a separate microprocessor that processes the information of a 3D-gyroscope and a 3D-accelerometer to calculate the position and movement directions of the prosthesis to feed it into the main microprocessor board of Genium X3.

Intuitive Stance: This patented technology allows the patient to intuitively stand on a flexed and stable knee on level, uneven, or inclined surfaces (ramps or hills). The user does not need to activate or deactivate the stance function; both occur intuitively. Stance function is ended with a simple step (prosthesis side or sound side). With traditional prosthetic knees it is imperative that the user cognitively ensure at all times that the center of mass stays ahead of the knee axis in order to prevent unexpected flexing of the prosthetic knee, which can cause the knee to collapse. In this situation, the user will uncomfortably stand with the hip extended in order to attempt to stabilize the knee.

3B5-3 Genium X3 Features and Benefits

Backwards Walking: This IMU also provides stability when taking steps backwards. Traditional microprocessor knees do not accommodate backward walking, because the knee is programmed to go into swing when the toe is loaded, causing the knee to collapse when stepping backward.

Stumble Recovery Feature

The Genium X3 provides resistance if the toe catches during midswing. As soon as the knee stops flexing and maximum heel rise is achieved, this feature is immediately activated; thus, if at any point the toe catches a supporting resistance is available. This allows patients enough time to bring their contralateral side through to catch themselves, thus preventing a fall and keeping it at controlled “stumble.” This resistance is angle dependent, meaning it will provide additional resistance compared to normal stance phase resistance. The further the knee bends (or the further the patient is into the fall) the higher the resistance that will be provided.

Stance Flexion Yielding

More Natural Gait Pattern: When the prosthesis initially contacts the ground, this feature allows the patient to mimic the natural gait pattern by loading the knee in a flexed position. Benefits include **shock absorption**, reducing the modulation of the center of gravity throughout the gait cycle, **energy efficiency** (less energy spent on “pulling back” on hamstrings to lock a fully extended knee), and an overall more natural gait pattern. Hip and lower back stress will also be minimized.

This feature also allows patients to “ride” the knee (the knee supports patients’ weight on flexed knee without buckling and lowers them into desired position) when sitting into a chair, kneeling, and when descending stairs and slopes.

Stance Extension Damping

After the knee is flexed during stance phase (stance flexion), it needs to extend again to advance the body forward through mid- and terminal stance. This feature provides increased resistance to this extension. Without this dampening the patient will feel a pronounced “snap back” or “jerk” at the knee that may cause a feeling of insecurity, and will also present with an unnatural looking gait pattern. Energy is conserved by having this feature, as the patient will not have to attempt to use hamstrings to control this motion.



Running Mode

The X3 has a Running Mode in addition to the Walk-to-Run function provided by the Dynamic Stability Control feature. The Running Mode is selected via the Cockpit App and will stay in running mode until deselected, which is preferred for longer distances. In this case appropriate running feet (e.g. 1E90 Sprinter) or feet with axial compression (e.g. 1C61 Triton Vertical Shock) are required.

3B5-3 Genium X3 Features and Benefits

Swimming and Showering

The 3B5-3 Genium X3 is ideal for patients working in or near water and allows unprecedented contact with water including showering, swimming, boating, fishing and more.



Submersible: The 3B5-3 Genium X3 has undergone stringent testing, and is water and corrosion resistant (IP 68), which allows the prosthesis to be submerged.

Waterjets: The 3B5-3 Genium X3 can be exposed to stronger jets of water as well (IP66). As a result, the X3 can be thoroughly rinsed after spending time in chlorinated or salt water.

Corrosion Resistant: The 3B5-3 Genium X3 is constructed with corrosion resistant materials (titanium, hard anodized aluminum, stainless steel, coatings).

Rugged Protection

The **Rubber Protector** on the 3B5-3 Genium X3 was designed in cooperation with users at Walter Reed and Brook Army Medical Centers and protects the joint against impacts and scratches. The X3 protector can be replaced by the user if worn out.

Additional Features

Supported Ramp Descent: Stance flexion on the 3B5-3 Genium X3 increases resistance as the knee angle increases. This causes a slower and more controlled walking down ramps and stairs

Deliberate Stance Function: When enhanced stability is needed (e.g. bilateral, hip disarticulation, etc.), the 3B5-3 Genium X3 has a deliberate stance function feature that can be programmed by the prosthetist. Deliberate stance function is initiated by simply holding the prosthesis still for just 125 milliseconds. This stance function is ended when the user takes the weight off the prosthesis or extends it slightly.

Supported Sitting Function: Flexion resistance on the 3B5-3 Genium X3 can be set to be increasing or constant depending on the patient's need.

Activity Report: The provider can track and document the user's progress towards rehabilitation goals. The tracking system can also be used to satisfy reimbursement requirements or optimize service of the device.

Patient App: The 3B5-3 Genium X3 has a Cockpit app compatible with both Android and iOS phones. With this app the user can switch between activities. The Cockpit app also allows the user to check battery life and view step counts.

Genium® / X3 Microprocessor Knee Evidence Summary

	Mobility need or deficit of the patient	Evidence for benefits of the Genium compared to an MPK billed with L5856
Activities of daily living (ADL)	Restrictions to performing activities of daily living	Genium significantly improves overall performance in activities of daily living; difference to able-bodied subjects was no longer statistically significant.
Level walking	Restrictions to walking longer distances	Genium’s pre-flex function reduces the perception of having to “climb over the prosthesis” at loading response, reduces braking forces during level walking making it easier to “ride into the knee” and use stance flexion for shock absorption. Improved swing control provides more consistent knee swing flexion (=toe clearance) across all walking speeds.
Walking with heavy footwear	Patients has to walk with heavy footwear (e.g. hard-toed shoes or boots) on a regular basis	Genium’s swing control is able to compensate for additional distal weight and provide sufficient knee swing flexion (=toe clearance).
Multi-directional ambulation and walking with small steps	Patient has to ambulate in confined areas and/or with small steps on a regular basis	Genium provides more reliable swing release and swing knee flexion (=toe clearance) in small steps. Greater self-reported ease of walking with small steps and executing ADLs with multi-directional movements.
Slope ambulation / uneven terrain	Difficulties to negotiate slopes / uneven terrain and/or considerable compensatory movements when walking on slopes / uneven terrain (uneven terrain = permanent switch between inclines and declines)	Genium improves self-selected walking speed and quality of slope descent (decreased reliance on handrail use), and provides increased knee flexion at initial contact and in swing phase (=toe clearance) during slope ascent and descent. Its pre-flex function also supports more physiologic and symmetric slope descent with higher prosthetic side weight bearing and step length. Greater self-reported ease of slope ascent and descent.
Stair negotiation	Difficulties to negotiate stairs and/or considerable compensatory movements when walking on stairs	More consistent positioning of the foot on the stair and increased prosthetic side weight-bearing during stair descent. Ability to walk upstairs step over step with unloading of the sound knee and more natural appearance. Greater self-reported ease of stair ascent and descent. The walk-upstairs function can also be used for stepping over bigger obstacles without cumbersome and dangerous compensatory movements.
Gait symmetry and unloading of the sound limb and spine	Patient suffers from pain in the joints of the sound limb and/or low back pain	Genium allows for a more natural gait, greater gait symmetry, and makes it easier to ride into the knee and use knee stance flexion for shock absorption. Increased symmetry of gait is an indicator of more even load distribution and may thus reduce short- and long-term comorbidities of the sound limb and spine.
Standing	Patient needs to stand for extended periods of time on a regular basis and has difficulties to do so	Genium has an intuitive standing function that automatically locks for standing and allows for significantly higher prosthetic side weight-bearing and thus more relaxed standing.
Walking backwards	Patient needs to walk backwards on a regular basis and has fallen repeatedly or has to use compensatory movements for safe backwards walking	Genium recognizes the direction of movement and prevents the knee from collapsing when walking backwards.

Genium® / X3 Microprocessor Knee Evidence Summary

Activities of daily living (ADL) and overall mobility

- Pre-flexion, intuitive stance function, obstacle and stairs function, and safe walking backwards provide the basis for improving the overall performance in 10 ADLs with Genium measured by the validated Physical Functional Performance assessment (PFP-10; $p=.03$) (2, 16).
- Genium significantly improved the Upper Body Function ($p=.01$), Balance ($p=.03$) and Endurance ($p=.02$) subscores of the PFP-10 compared to C-Leg (2, 16).
- The PFP-10 total score and its Upper Body Function, Upper Body Strength, Lower Body Strength and Balance subscores were no longer significantly lower with Genium compared to those of able-bodied individuals. Only the Endurance subscore was significantly lower in Genium users compared to able-bodied subjects (2, 16).
- Genium significantly improved the functional performance as measured by the Amputee Mobility predictor (AMP, $p\leq.001$) (1, 16).
- Genium significantly improved the step-activity-derived functional level (SAD-FL, $p=.01$) (1, 16).
- Based on the significant improvements in ADL performance balanced with the difference in cost between Genium and C-Leg, the Genium was found to be a cost-effective prosthetic intervention (1, 16).

Walking longer distances

- Pre-flexion allows for easier “riding into the knee” with easier use of physiologic knee stance flexion for shock absorption (5, 6, 9, 16) and a reduction of braking forces during walking (reduction of the feeling to have to “climb over the prosthesis”). Research has shown that mean knee stance flexion for shock absorption is 2-4° higher with Genium than with C-Leg over all walking velocities (5, 6, 9), reaching statistical significance for slow ($p=.01$) and normal walking speed ($p=.02$) (5). Mean vertical and horizontal ground reaction (braking) forces are reduced for medium and fast walking speed (6, 9, 16). The reduction of braking forces makes it easier to walk longer distances.
- The Genium offers an optimized swing phase control with a nearly physiologic swing knee flexion angle of 64° independent of walking speed. This provides improved toe clearance in slower walking speeds as well as timely shank swing in higher walking speeds – that patient doesn’t have to wait for a lagging shank to swing forward (6, 9, 16). The improved swing control also supports to walk longer distances.

Improved and consistent toe clearance across all walking speeds and with heavy footwear

- The Genium offers an optimized swing phase control with a nearly physiologic swing knee flexion angle of 64° independent of walking speed. This provides improved toe clearance in slower walking speeds as well as timely shank swing in higher walking speeds – that patient doesn’t have to wait for a lagging shank to swing forward (6, 9, 16). It is also able to compensate for an additional 500 g (16.6 Oz) weight simulating heavy shoes or boots. Peak knee swing flexion increases by a significant 3-6° ($p\leq.02$) for all walking speeds, ensuring adequate toe clearance (5, 16).

Genium® / X3 Microprocessor Knee Evidence Summary

Improved negotiation of slopes and uneven terrain

- Pre-flexion improves self-selected walking speed ($p=.041$) and quality of slope descent ($p=.026$), mainly due to reduced reliance on handrail use (4, 16).
- Pre-flexion facilitates significantly increased prosthetic leg weight-bearing during slope descent, represented by a significant 12% increase in the knee stance flexion moment ($p<.05$) (4, 6, 9, 16) and a significant 3-4° increase in knee flexion peaks with Genium as compared to C-Leg (5). This results in a more physiologic and symmetric step-over-step gait pattern with unloading of the sound limb (3, 5, 6, 9) and improved perceived ease ($p=.002$ and $p<.03$, respectively) (7, 8) and safety of slope descent (8, 16).
- Pre-flexion also supports easier and more physiologic and symmetric step-over-step slope ascent by increased prosthetic knee stance flexion and weight-bearing (3, 5), reducing the need to “climb up over the limb” (3, 5-9). Consequently, ascending slopes and hills was rated significantly easier ($p<.001$ and $p<.02$, respectively) and considerably safer with Genium as compared to C-Leg (7, 8, 16).
- The optimized swing phase control results in increased knee flexion and thus toe clearance and safety when ascending and descending slopes (4-9). Compared to C-Leg, knee swing flexion during slope ambulation with Genium is significantly increased by 8-9° ($p<.01$) in slope descent and 3-8° ($p<.01$) in slope ascent (4, 5, 6, 9), thus ensuring improved toe clearance and longer prosthetic side step length (4, 16).
- The improvements in safety and gait patterns in slope ambulation also facilitate the negotiation of uneven terrain that is basically a permanent switchover between inclines and declines. Thus, patients rated walking on uneven and unknown terrain considerably easier and safer with the Genium as compared to using a C-Leg (8, 16).

Improved negotiation of stairs and bigger obstacles

- Pre-flexion facilitates a consistent positioning of the foot for step-over-step stair descent, resulting in more confidence and prosthetic side weight-bearing, represented by a significant 15% increase ($p<.05$) in the prosthetic side knee flexion moment (6, 9). Consequently, patients rated the ease of descending stairs significantly greater with Genium than with C-Leg ($p=.019$ and $p<.03$, respectively) (7, 8).
- Genium allows for ascending stairs in the natural step-over-step manner with a prosthetic knee that bends to maximize clearance of the stair with each step (1, 6, 8, 9-12). In the walk upstairs mode, the bent prosthetic knee produces enough flexion resistance that the patient can use the prosthesis as a counter bearing to lift his/her body up to the next step using his/her hip and residual limb muscles (6, 8, 9-12, 16). The conventional method for ascending stairs with a prosthetic knee is to take two steps at a time with the sound limb and drag the prosthetic leg up (“skip-step”), which results in a significant strain to the sound limb joints and muscles (9-12). Research has shown that most above-knee amputees are able to walk upstairs step over step with the Genium, resulting in a movement pattern that clearly approximates that of non-amputated subjects (6, 9-12). With the conventional prosthetic knees, including C-Leg (10 out 14 patients), the median score on the Stair Assessment Index (SAI) was 5, representing step-to-step pattern (one step with the sound limb at a time) without handrail use. With the Genium, the median SAI score improved significantly to 11 ($p=.005$), representing a step-over-step pattern with handrail use (1, 10). Participants were also more symmetrical while using the Genium to include more similar peak knee and hip flexion during swing and peak hip power generation during push-up when comparing between the prosthetic and the sound limb (10). The extent of movements of the knee and hip of both legs while ascending stairs with the Genium was very

Genium® / X3 Microprocessor Knee Evidence Summary

similar to that of healthy subjects after only 1 day (9, 11) and further improved and became more consistent after accommodation of 3 months (6). When compared to the conventional skip-step method, the loading of the sound knee, demonstrated by the maximum knee extension power, was significantly reduced by 12% ($p < .05$). The mean maximum residual limb extension power during step-over-step stair ascent with Genium was comparable with the leg extension power in sound subjects (9, 11). Consequently, patients rated the ease of walking upstairs significantly greater ($p = .04$) with Genium than with a C-Leg (8, 16).

- The Genium allows for nearly normal stepping over large obstacles (8) with the prosthetic leg first – the knee can be normally flexed and the prosthesis be moved over the obstacle like taking a long step. Using this function, Genium is safe in a loaded and bent position when landing past the obstacle (6, 9-12, 16). All other MPK’s require that the patient move the extended/stiff prosthetic leg around obstacle using circumduction, which is associated with a high risk of catching the toes, stumbling and falling.
- The Genium also enables nearly normal stepping over large obstacles (8) with the sound leg first. Using this function of Genium, the trailing prosthetic leg can be normally bent and moved over the obstacle (6, 9-12, 16). All other MPK’s require that the patient move the trailing extended/stiff prosthetic leg around the obstacle using circumduction or to hop forward on the sound leg and drag the stiff prosthetic leg over the obstacle. Both ways are associated with a substantial risk of catching toes, stumbling, and falling.
- The benefit of the obstacle function is reflected by a significant improvement in the completion time of the Four Square Step Test (FSST), a validated outcome measure for the risk of falling in higher functioning amputees ($p = .04$) (1, 16). The test requires stepping over crutches on the ground with both the sound and prosthetic leg first.

Improved multi-directional ambulation walking with small steps

- Genium allows for safe multi-directional motion and transitional gait by controlling the switch from stance to swing. Thus, it significantly improves overall prosthetic function, especially utility (7) as well as the perceived ease and safety of many activities of daily living (8, 16).
- Genium provides a considerably more reliable swing initiation for improved toe clearance in small and shuffling steps, as needed for ambulation in crowds and confined spaces (5, 9). The mean maximum knee swing flexion angle in small steps with Genium is a significant 5.4° greater ($p < .05$) than with C-leg (6, 9), thus ensuring greater toe clearance. Consequently, walking with small steps and in close spaces is rated significantly easier ($p = .025$) and considerably safer with Genium than with a C-leg (7, 8, 16).

More natural gait, improved gait symmetry, unloading of the sound limb and spine

- Unlike all other microprocessor controlled knees that have to be (unphysiologically) fully extended at heel strike, these simulated physiologic rule sets allow Genium for optimized prosthetic gait (OPG) with a nearly physiologic pre-flexion of the knee at heel strike (5, 6, 9). Compared to the C-Leg, this results in increased symmetry of gait (step length) at all walking velocities, reaching statistical significance ($p < .05$) for very slow, slow, and medium walking speeds (5, 6, 9, 16). Increased symmetry of gait is an indicator of more even load distribution between the prosthetic and sound limbs and may thus reduce long-term comorbidities of the sound limb and spine (13, 16).
- Pre-flexion allows for easier “riding into the knee” with easier use of physiologic knee stance flexion for shock absorption (5, 6, 9) and a reduction of braking forces during walking (reduction of the feeling to have to “climb over the prosthesis”). Research has shown that mean knee stance flexion for shock absorption is $2-4^\circ$

Genium® / X3 Microprocessor Knee Evidence Summary

higher with Genium than with C-Leg over all walking velocities (5, 6, 9), reaching statistical significance for slow ($p=.01$) and normal walking speed ($p=.02$) (5). Mean vertical and horizontal ground reaction (braking) forces are reduced for medium and fast walking speed (6, 9, 16).

- Genium significantly improves overall prosthetic function, especially utility, social burden, perceived response and well-being (8) as well as the perceived ease and safety of many activities of daily living (7, 8, 16).
- Compared to individuals with transfemoral amputation who used mechanical knee joints or C-Leg, users of the Genium demonstrated the best correction of kinematic and kinetic gait deviations and compensatory mechanisms (15).

Improved ability to stand still for longer periods of time

Genium allows the patient to intuitively stand on a flexed and stable knee on level, uneven, or inclined surfaces (ramps or hills) (7-9). The user does not need to activate or deactivate the stance function; both occur intuitively. Stance function is ended with a simple step (prosthesis side or sound side) (7). With traditional prosthetic knees it is imperative that the user cognitively ensure at all times that the center of mass stays ahead of the knee axis in order to prevent unexpected flexing of the prosthetic knee, which can cause the knee to collapse. In a study, prosthetic leg weight-bearing and mean sagittal knee flexion moment while standing on a 10° slope with Genium were significantly increased by 85% or 92%, respectively ($p<.05$ each), while the prosthetic side mean hip moment was reduced by 69% compared to standing with a C-Leg. Also, prosthetic side postural sway was significantly reduced ($p<.01$) when standing on the Genium as compared to a C-Leg (9). This means that the user is able to stand longer periods of time in a more relaxed manner with Genium, by loading the prosthesis with significantly more weight while requiring much less hip force to stabilize the prosthesis and being able to unload the sound limb at the same time (9). These objective findings have been supported by self-reported outcomes in the corresponding items of the Prosthesis Evaluation Questionnaire (PEQ) and an Activity of Daily Living Questionnaire, confirming the significantly increased perceived ease of standing still for longer periods of time (7, 8, 16).

Safe walking backwards

- Genium provides stability when taking steps backwards. Traditional microprocessor knees do not accommodate backward walking, because the knee is programmed to go into swing when the toe is loaded, which may cause the knee to collapse when stepping backwards. Being that the Genium reliably detects the direction in which the prosthesis is moving in real time, there is no danger of the knee collapsing while walking backwards. This was confirmed in a study surveying patients and the perceived ease and safety of activities of daily living. Walking backwards was rated significantly easier ($p=.04$) and considerably safer when using the Genium as compared to the C-Leg (8, 16).

Results of 899 trial fittings with Genium in Germany

- Based on the prosthetists' assessment, more than 85% of patients benefit from Genium in the domains of safety, ability to vary walking speed, ability for divided attention during walking, unloading of the sound limb, necessary effort to walk, and gait symmetry compared to previous C-Leg use (14).
- Based on the patients' self-assessment, they benefit from Genium in walking up and down stairs, clearing larger obstacles, walking up and down slopes, standing for longer periods of time on level surface or slopes,

Genium® / X3 Microprocessor Knee Evidence Summary

varying walking speed, walking with small steps, walking backwards, carrying heavy loads, and in activities in confined spaces such as in the bathroom, compared to previous use of the C-Leg (14).

- It turned out to be impossible to predict success (additional benefits) or failure (no additional benefits) of Genium fitting compared to C-Leg use. A multitude of patient characteristics including but not limited to age, mobility grade, amputation etiology, time since amputation, comorbidities, and many others (26 in total) failed to demonstrate any predictive value whether or not a patient would benefit from Genium as compared to C-Leg (14).

References

1. Highsmith MJ, Klenow TD, Kahle JT, Wernke MM, Carey SL, Miro RM, Lura DJ, Sutton BS. Effects of the Genium knee system on functional level, stair ambulation, perceptive and economic outcomes in transfemoral amputees. *Technol Innov* 2016; 18: 139-150. <http://dx.doi.org/10.21300/18.2-3.2016.139>.
2. Highsmith MJ, Kahle JT, Miro RM, Cress EM, Lura DJ, Quillen WS, Carey SL, Dubey RV, Mengelkoch LJ. Functional performance differences between Genium and C-Leg prosthetic knees and intact knees. *J Rehabil Res Dev* 2016;53(6):753-766. <http://dx.doi.org/10.1682/JRRD.2014.06.0149>
3. Highsmith MJ, Klenow TD, Kahle JT, Wernke MM, Carey SL, Miro RM, Lura DJ. Effects of the Genium microprocessor knee system on knee moment symmetry during hill walking. *Technol Innov* 2016;18: 151-157. <http://dx.doi.org/10.21300/18.2-3.2016.151>
4. Bell EM, Pruziner AL, Wilken JM, Wolf EJ. Performance of conventional and X2(r) prosthetic knees during slope descent. *Clin Biomech (Bristol, Avon)* 2016 Mar; 33: 26-31. doi: 10.1016/j.clinbiomech.2016.01.008. Epub 2016 Feb 2. <https://www.ncbi.nlm.nih.gov/pubmed/26921583>
5. Lura DJ, Wernke MM, Carey SL, Kahle JT, Miro RM, Highsmith MJ. Differences in knee flexion between the Genium and C-Leg microprocessor knees while walking on level ground and ramps. *Clin Biomech (Bristol, Avon)*. 2015 Feb;30(2):175-81. doi: 10.1016/j.clinbiomech.2014.12.003. Epub 2014 Dec 13. <http://www.sciencedirect.com/science/article/pii/S0268003314002988>
6. Schmalz T, Bellmann M, Proebsting E, Blumentritt S. Effects of Adaptation to a Functionally New Prosthetic Lower-Limb Component: Results of Biomechanical Tests Immediately after Fitting and after 3 Months of Use. *J Prosthet Orthot* 2014; 26(3): 134-143. http://journals.lww.com/jpojournal/Fulltext/2014/07000/Effects_of_Adaptation_to_a_Functionally_New.4.aspx
7. Highsmith MJ, Kahle JT, Miro RM, Lura DJ, Dubey RV, Carey SL, Quillen WS, Mengelkoch LJ. Perceived differences between the Genium and the C-leg microprocessor prosthetic knees in prosthetic-related function and quality of life. *Technol Innov* 2014; 15: 269-375. <http://www.ingentaconnect.com/content/cog/ti/2014/00000015/00000004/art00013>
8. Kannenberg A, Zacharias B, Mileusnic M, Seyr M. Activities of daily living: Genium Bionic Prosthetic Knee compared with C-Leg. *J Prosthet Orthot* 2013; 25(3): 110-117. http://journals.lww.com/jpojournal/Abstract/2013/07000/Activities_of_Daily_Living_Genium_Bionic.3.aspx
9. Bellmann M, Schmalz T, Ludwigs E, Blumentritt S. Immediate effects of a new microprocessor-controlled prosthetic knee joint: a comparative biomechanical evaluation. *Arch Phys Med Rehabil* 2012; 93(3): 541-549. [http://www.archives-pmr.org/article/S0003-9993\(11\)00944-0/abstract](http://www.archives-pmr.org/article/S0003-9993(11)00944-0/abstract)
10. Aldridge Whitehead JM, Wolf EJ, Scoville CR, Wilken JM. Does a microprocessor-controlled knee affect stair ascent strategies in persons with transfemoral amputation? *Clin Orthop Rel Res* 2014; 472(10): 3093-3101. <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC4160488/>

Genium® / X3 Microprocessor Knee Evidence Summary

11. Bellmann M, Schmalz T, Ludwigs E, Blumentritt S. Stair ascent with an innovative microprocessor-controlled exoprosthetic knee joint. *Biomed Tech* 2012; 57(6): 435-444.
<http://www.degruyter.com/view/j/bmte.2012.57.issue-6/bmt-2011-0029/bmt-2011-0029.xml>
12. Highsmith MJ, Kahle JT, Lura DJ, Lewandowski AJ, Quillen WS, Kim HS. Stair ascent and ramp gait training with the Genium knee. *Technol Innov* 2014; 15: 349-258.
<http://www.ingentaconnect.com/content/cog/ti/2014/00000015/00000004/art00011>
13. Kaufman KR, Frittoli S, Frigo CA: Gait asymmetry of transfemoral amputees using mechanical and microprocessor controlled prosthetic knees. *Clin Biomech* 2012; 27 (5): 460-465.
<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3335968/>
14. Hahn A, Lang M, Stuckert C. Analysis of clinically important factors on the performance of advanced hydraulic, microprocessor-controlled exo-prosthetic knee joints based on 899 trial fittings. *Medicine (Baltimore)* 2016;95(45):e5386. <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC5106077/>
15. Varrecchia T, Serrao M, Rinaldi M, Ranavolo A, Conforto S, De Marchis C, Simonetti A, Poni I, Castellano S, Silvetti A, Tatarelli A, Fiori L, Conte C, Draicchio F. Common and specific gait patterns in people with varying anatomical levels of lower-lib amputation and different prosthetic components. *Hum Mov Sci* 2019;66:9-21.
<https://doi.org/10.1016/j.humov.2019.03.008>
16. Mileusnic MP, Rettinger L, Highsmith MJ, Hahn A. Benefits of the Genium microprocessor controlled prosthetic knee on ambulation, mobility, activities of daily living and quality of life: a systematic literature review. *Disabil Rehabil* 2019 Aug 30:1-12. doi: 10.1080/17483107.2019.1648570. Online ahead of print.
<https://www.tandfonline.com/doi/full/10.1080/17483107.2019.1648570>

Contact information:

Ottobock Reimbursement North America
 P 800 328 4058 F 800 230 3962
 professionals.ottobockus.com
 professionals.ottobock.ca
reimbursement911@ottobock.com